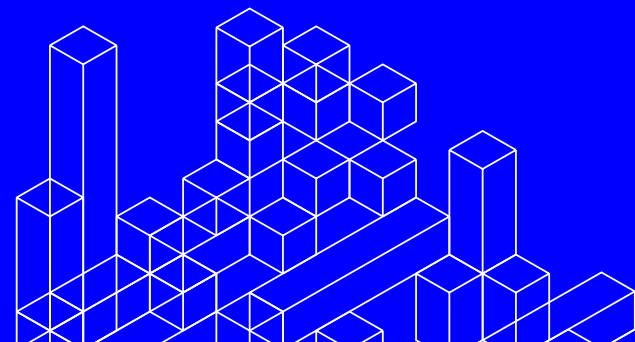


Portfolio Proposal Tool (PPT)

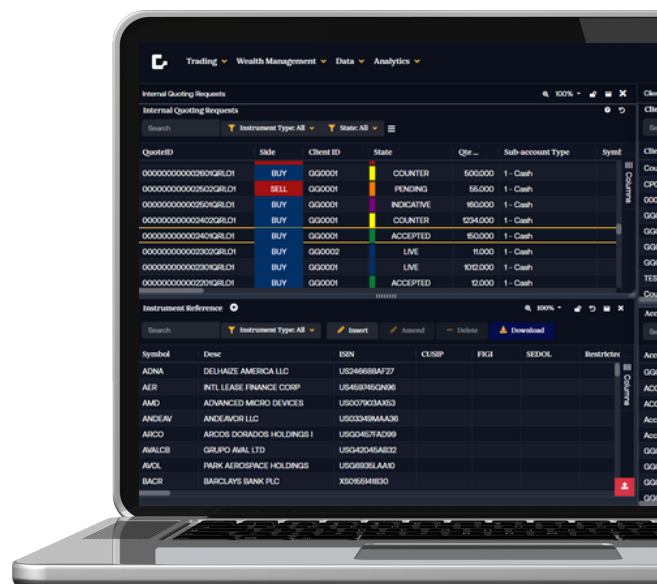


A productivity tool for Wealth Managers/Private Bankers to generate new investment business.

PPT allows wealth managers to tailor individual investment portfolios per client. Whether it is a current client or potential client the platform manages the complete workflows of wealth managers proposing portfolios to their clients. The platform audits all changes, presents portfolio performance analytics & provides internal MIS.

The Key Features:

- Insert/update default portfolio structures for each risk profile and net amount range
- Manage portfolio asset class breakdown and components within each asset class
- Multi-Asset class support, including Fixed Income, Equity, Equity Options, Structured Notes, Funds and CDs
- Integrate with external portfolio optimization tools
- Manually upload prospect's portfolio(s) held with another Provider
- Create/copy new proposal for an existing client/prospect
- Tailor portfolio to meet client preferences
- Record pending/accept/reject status of each portfolio
- Connect to quote management, EMS or OMS to execute accepted portfolios



Other Features

- All user actions permission controlled and audited
- Secure/robust design
- Provision of user-friendly Web GUI
- Integration with Genesis position keeping application (PKS) provides a complete view of current positions and proposed portfolio

QuoteID	Side	Client ID	State	Qty	Sub-account Type	Symbol	Descr	Account ID	Client	ISIN	Qty Amt	Assigned To	Resp. Vol
00000000000502QRLO1	BUY	GG0002	INDICATIVE	2,000	1 - Cash	AMD	2 units	GG02004	Genesis 02	US007903AX53			2
00000000000501QRLO1	BUY	GG0001	INDICATIVE	2,000	1 - Cash	GS	2 units	GG01004	Genesis 01	US381413CU67		JohnDoe	2
000000000005002QRLO1	BUY	TEST_4_7	INDICATIVE	10,000	1 - Cash	TMUS	10 units	TEST	TEST_4_7	USU88868AJ08			10
000000000005001QRLO1	BUY	TEST_4_7	LIVE		1 - Cash	TMUS		TEST	TEST_4_7	USU88868AP67			0
000000000004902QRLO1	BUY	GG0001	LIVE		3 - Short	EMBRBZ		GG01002	Genesis 01	US290821AB87			0
000000000004901QRLO1	BUY	TEST_4_7	LIVE	25,000	1 - Cash	TMUS	25 units	TEST	TEST_4_7	USU88868AJ08			0
000000000004810QRLO1	BUY	GG0001	ACCEPTED	5,000	1 - Cash		5 units	GG01002	Genesis 01				5
000000000004809QRLO1	BUY	GG0002	ACCEPTED	15,000	1 - Cash		15 units	GG02003	Genesis 02				15
000000000004808QRLO1	BUY	GG0001	LIVE	10,000	1 - Cash		10 units	GG01002	Genesis 01				0
000000000004807QRLO1	BUY	GG0001	ACCEPTED	20,000	1 - Cash		20 units	GG01004	Genesis 01				20
000000000004806QRLO1	BUY	GG0002	PRICING	9,000	1 - Cash		9 units	GG02002	Genesis 02				9
000000000004805QRLO1	BUY	GG0001	ACCEPTED	6,000	1 - Cash		6 units	GG01005	Genesis 01				6
000000000004804QRLO1	BUY	GG0002	ACCEPTED	1,000	1 - Cash		1 units	GG02002	Genesis 02				1
000000000004803QRLO1	BUY	GG0002	PRICING	94,000	1 - Cash		94 units	GG02001	Genesis 02				94
000000000004802QRLO1	BUY	GG0001	ACCEPTED	2,000	1 - Cash		2 units	GG01005	Genesis 01				2
000000000004801QRLO1	BUY	GG0001	ACCEPTED	3,000	1 - Cash		3 units	GG01003	Genesis 01				3
000000000004702QRLO1	BUY	TEST_4_7	LIVE	65,000	1 - Cash	CHH	65 units	TEST	TEST_4_7	US169905AE60			0
000000000004701QRLO1	BUY	TEST_4_7	LIVE	55,000	1 - Cash	CMH	55 units	TEST	TEST_4_7	XS0775625246			0
000000000004608QRLO1	BUY	TEST_4_7	REFUSED	100,000	1 - Cash		100 units	TEST	TEST_4_7				0
000000000004607QRLO1	BUY	TEST_4_7	ACCEPTED	20,000	1 - Cash		20 units	TEST	TEST_4_7				20
000000000004606QRLO1	BUY	TEST_4_7	EXPIRED	100,000	1 - Cash		100 units	TEST	TEST_4_7				15
000000000004605QRLO1	BUY	TEST_4_7	INDICATIVE	14,000	1 - Cash		14 units	TEST	TEST_4_7				14

About Genesis

Purpose built for financial markets. Genesis enables financial market organizations to innovate at speed through our low-code platform that supercharges developers to build resilient, performant and secure applications with unmatched efficiency and scale. Genesis provides freedom from legacy and replaces the buy vs. build challenge with a buy-to-build solution.

Speed: reusable components and a suite of development tools that boosts productivity and radically accelerates speed to market.

Performance: capable of handling the high volume and complex business requirements of financial markets' organizations.

Composability: growing suite of highly composable and customizable building blocks provides the flexibility and scale needed to meet current and future application needs.