

Trade Allocation Manager (TAM)

A multi-asset class, middle office application that enables clients to capture trades from multiple upstream trading applications.

TAM acts as an independent middle office application providing the client with the freedom to integrate with different front and back office applications as required.

The Key Features:

- ✔ Multi-asset classes, trade capture & OMS integration
- ✔ Workflow caters to the specifics of each asset type e.g listed derivatives vs equities
- ✔ Existing integration adapters to several of the most popular vendor OMS platform
- ✔ Trade validation, enrichment, allocation and confirmation
- ✔ Extensible instrument and counterparty/account reference data
- ✔ Holistic commission & fee rule engine, supporting both broker & market-side fees
- ✔ Support of complex fee calculation models, with custom profile group and hierarchy rules
- ✔ Ability to apply multiple allocation models and support of auto allocation configuration
- ✔ Support for the most prevalent mechanisms for input of allocation data; CTM, FIX, file
- ✔ Able to reversibly group/bulk or net trades
- ✔ Custom client reporting & distribution and production of back office files
- ✔ User friendly GUI and reporting tools
- ✔ Operates on both client trades and market trades
- ✔ Reconciliation functionality also available



Fee Engine

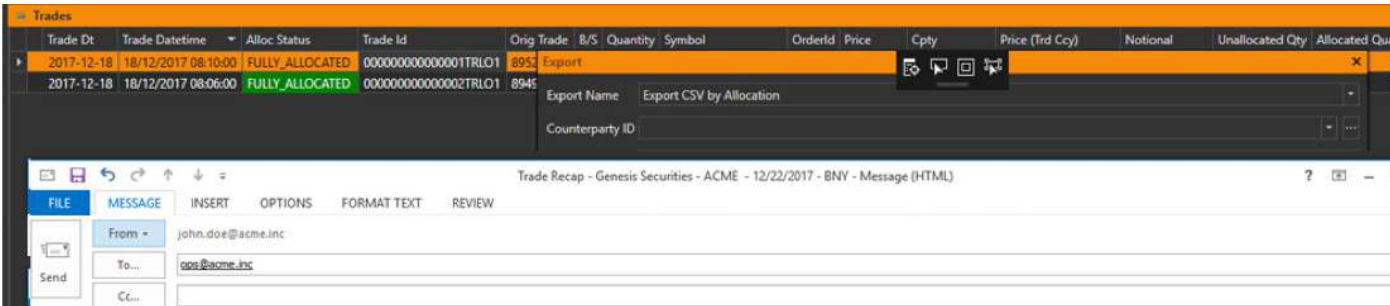
The counterparty fee engine allows the set up and maintenance of the commission structure and regulatory fees needed for each client. Rules can be set up with asset class/account/country granularity, or any combination.

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Fees							
Type	Group	Description	Type	Rate	Class?	Side	
BROKERAGE	COMMISSION	Commission	BPS	15	ALL	ALL	
STAMP_DUTY	STAMP	Securities Transfer Sta...	PERCENT	0.075	ALL	ALL	
STAMP_DUTY	STAMP	Stamp Duty	BPS	10	ALL	SELL	
TRANSFER_FEE	FEE	Transfer Fee	BPS	0.4	ALL	ALL	

Reporting

An important part of the middle office workflow is notifying your client or custodian of trades and allocations. TAM makes it simple to generate such reports in a wide range of formats including CSV, PDF and html email as well as electronic trade confirmation. Exports formatted for common custodians such as BNY Mellon and Pershing come as part of the vanilla product. The Genesis Report Designer allows for simple creation and maintenance of reports.



The screenshot shows a 'Trades' table with columns: Trade Dt, Trade Datetime, Alloc. Status, Trade Id, Orig Trade, B/S, Quantity, Symbol, OrderId, Price, Cpty, Price (Trd Ccy), Notional, Unallocated Qty, Allocated Qty. Two rows are visible, both with 'FULLY_ALLOCATED' status. Below the table, an 'Export' dialog is open, showing 'Export Name' as 'Export CSV by Allocation' and 'Counterparty ID' as a dropdown menu. At the bottom, an email composition window is visible with 'From: john.doe@acme.inc' and 'To: ops@acme.inc'.

ABOUT GENESIS

Genesis is a global Financial Markets software company with a difference.

We bring a fresh approach to helping firms adapt and innovate their business and operating models in an ever-challenging, constantly changing environment. Pioneering vision, coupled with our technical innovation, is at the heart of everything we do.

Low Code / Pro Code: We are a “low code/pro code” application platform, cloud delivered as a service (aPaaS).

Performance: Developed over the years with Financial Markets firms, the Genesis platform is empowering the next generation of agile solution delivery.

Flexibility: We’ve flexible delivery options, so you can pick the best approach for your needs – now and in the future. “Build IT Your Way”, “Build IT Together” or “Ready Built”.